

ARMS TRANSFERS IN THE GULF OF ADEN

// Shining the Spotlight on Regional Dynamics

Since the outbreak of the war in Yemen in 2015, the state has seen a growing influx in the supply of weapons. These weapons are both legally and illegally provided by regional and international powers to all major factions of the conflict. While arms transfers and their effects on the conflict in Yemen have received considerable attention, a lesser known fact is that weapons are increasingly circulating between Yemen, Somalia and Djibouti – the three states adjoining the Gulf of Aden. Against this background, this text shines the spotlight on weapons flows dynamics in a highly militarized region.



U.S. Navy Seizes Weapons in Gulf of Aden (Photo: U.S. Naval Forces Central Command (NAVCENT) Public Affairs | Public Domain; The appearance of U.S. Department of Defense (DoD) visual information does not imply or constitute DoD endorsement).

by Matthias Schwarz

The Gulf of Aden is one of the world's most frequented shipping lanes: 33,000 ships and seven percent of the global oil supply¹ pass through its waters each year. Not least because of its trade-crucial location, the Gulf has seen growing militarization. A regional and international scramble for influence contributes to this trend, resulting in the increasing construction of military facilities and accordingly to the deployment of naval forces (see Figure 1). While the international military presence has nearly halted the looting of ships by

the infamous Somalian pirates, this has had no pacifying effect on the dire and intricate conflicts within Somalia and Yemen. The international military presence serves as an expression of tensions (see Box), rather than a basis for equitable regional peace. This is also apparent if one looks at arms transfers between the three states surrounding the Gulf: Djibouti, Somalia and Yemen. As will be analyzed below and provided they are willing, these transfers show the challenges to regional and international actors in curbing international weapons flows – be they licit, semi-legal or illicit.

Arms Transfers to Yemen

Even before the outbreak of the war, Yemen already had the second highest per capita possession of firearms globally.² The escalation of violence since 2015 has been accompanied by an influx of ever more weapons. The providers of these weapons to Yemeni actors can be divided mainly into two groups. On the one hand, forces supportive of the Yemeni government are primarily supplied with arms from so-called coalition³ states. Arms transfers to these factions remain inadequately monitored, as there is no arms embargo imposed on these states (except for Sudan), nor on the Yemeni government. As a result, and tacitly accepted by the international community, the quantities and types of weapon systems provided by coalition states remain obscure.

Coalition forces assert that their actions are in line with UN Security Council (UNSC) Resolution 2216 demanding the restoration of the Yemeni government

The Construction of Military Facilities

Djibouti is a prime example for the militarization of the Gulf of Aden. The small state hosts military facilities of the US, China, Japan and several European countries, respectively underlining their influence aspirations. Likewise, regional powers are increasingly planning and constructing naval and cargo ports, as well as army bases, in the broader region. The United Arab Emirates (UAE), Turkey and Saudi Arabia, particularly, are upscaling their foothold (see Figure 1).

In addition to the Gulf monarchies' longstanding lines of conflict with Israel as well as Iran, this trend mirrors the increasing rift between Qatar and Turkey on one side, and Saudi Arabia, the UAE and Bahrain on the other. Rents and infrastructural investments are used by these states to bind elites and to expand their political and strategic influence in the Horn of Africa. However, this strategy also encounters mistrust in, for example, Kenya and Ethiopia.

of Abdrabbuh Mansur Hadi. However, the resolution remains highly disputed. The UN, as well as experts on international law,⁴ have concluded that all major parties to the war in Yemen are in violation of international humanitarian law through such actions as “the indiscriminate bombing of civilian targets”⁵ by coalition forces. The US, UK and France, however, remain the major arms-exporters to Saudi Arabia and the UAE. Germany, too, has delivered vast amounts of weapons to coalition states.⁶ This circumvents such international arms trade obligations as the Arms Trade Treaty (ATT) and the EU Common Position on Arms Export Controls that “prohibit arms transfers from the moment there is a significant risk that they could be

used to commit or facilitate grave breaches [of international law]”.⁷ However, change seems possible as the new US President Joe Biden recently announced the halting of arms deliveries to Saudi Arabia and the UAE. The opposing Houthi rebels, on the other hand, are subject to an UN imposed arms embargo, though official seizures by military vessels patrolling the Gulf of Aden prove they continue to receive sophisticated weapons that share “technical characteristics similar to arms manufactured in [...] Iran.”⁸ Furthermore, large quantities of Chinese manufactured rifles and ammunition – most probably also provided by Iran – have entered Yemen (for major official seizures see Table 1).

Arms transfers to Houthis are regularly carried out with medium scale private vessels (*dhow*s). These transfers face interdiction by the coalition sea blockade that has fostered the severe humanitarian crisis in Yemen so present in international headlines. Arms transfers have reached Houthi rebels via an overland route, as well. Parts for armed drones and modern types of missiles are evidently reaching Yemen via Oman. It remains unclear whether the officially neutral Omani border patrols lack the capacity to curb the smuggling, or are bribed.⁹ As will be elaborated below, ports in Djibouti and Somalia are used to ship weapons to Yemen, but flows in the opposite direction can also be observed.

The Yemen-Somalia Connection

Weapons from Yemen, be they initially supplied to Houthis or forces supporting the Yemeni government, are increasingly finding their way to East Africa. This is particularly true for Somalia. The number of confiscated weapons transfers between the two countries' coasts has grown in recent years, although it is not clear whether this is due to expanding trade or tighter controls.¹⁰ Nevertheless, international naval forces intercept only a fraction of the illicitly traded arms.

Commercial and social ties between Somali and Yemeni nationals have a long history. Aside from the mostly legitimate trade, illegal cargo is commonly smuggled by private dealers between Yemen's southern coast

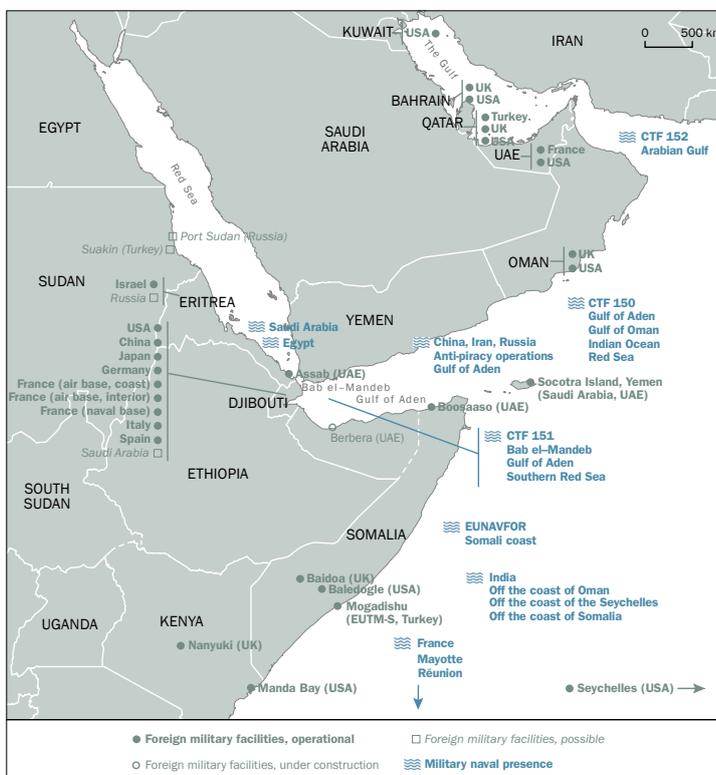


Fig. 1: Distribution of foreign military forces in the Horn of Africa region; CTF = Combined Task Force (Graphic credit: Ahlenius, H., Nordpil, 2018; SIPRI; taken from: N. Melvin, *The Foreign Military Presence in the Horn of Africa Region*, SIPRI Background Paper 2019, 2; sipri.org; <https://bit.ly/3cUjNit>).

and the Bosaso district of Puntland (Somalia). Interviews conducted by the author with regional experts point out that expanding arms trafficking networks are partly connected with radical Islamist militias, showing overlap with former pirate groups. Moreover, the role of the Puntland authorities is ambivalent. While the state's maritime police have seized weapons after receiving tips from international military forces, it is probably purchasing illegal arms from Yemeni dealers itself.¹¹ Arms traffickers move relatively freely in this area. As a result, an adjustment of the mandate of the anti-piracy mission of the EU Naval Force in Somalia (Operation Atalanta) aimed at the containment of such illicit maritime activities is currently being discussed.¹²

The Yemen to Somalia arms trade is particularly lucrative as rifles can be sold at three times the market price in the Horn of Africa state. The arms deliveries generally remain in Somalia, but seizures show that small amounts of these weapons also find their way to neighboring states.¹³ However, a systematic trend is not (yet) observable.¹⁴ Conversely, Puntland is likely used by Iran as a hub for arms deliveries to the Houthi rebels in Yemen.¹⁵

However substantial, illicitly transferred arms from Yemen are only a part of the weaponry utilized in Somalia. Many weapons in possession of domestic militias are older remnants, or have been captured or diverted from the Somalia National Army (SNA) and the African Union Mission in Somalia (AMISOM). Although the SNA has denied the UN access to its stockpiles, the Somali forces have licitly received vast numbers of weapons and ammunition¹⁶ since the partial lifting of an existing arms embargo in 2013. Equipping the SNA should, therefore, be more critically assessed and monitored.

Djibouti, the New Trafficking Hub?

As in Somalia and Yemen, the Gulf of Aden state of Djibouti is significantly affected by regional arms flow dynamics – and by developments in its northern neighbor, Eritrea. Formerly, it was Eritrea that was often accused of being the focal point of regional weapons smuggling. For example, Iran used its Assab naval station in Eritrea to ship military equipment to Hezbollah, Hamas and the Houthis through the Gulf of Aden and the Red Sea.

This trend has shifted in recent years. Following the 2018 peace agreement between Ethiopia and Eritrea, the latter is striving to shake off its negative international reputation. Iran's influence in Eritrea has been replaced by the UAE, Saudi Arabia and Israel. Although denied by representatives of Djibouti, recent research shows that the small state is increasingly filling the gap left by Eritrea to become the regional hub for arms transfers.¹⁷ For instance, reports indicate that Djibouti is now used as an intermediary for arms smuggled from Yemen to Somalia. According to eyewitness accounts, China uses its port in Doraleh (Djibouti) for illegal arms shipments to East Africa and Yemen.¹⁸ In 2018, freights were detected consisting of multiple rocket launchers, anti-tank missiles, "and large quantities of Chinese [...] ammunition."¹⁹ Although not inevitably provided by China, Chinese arms and ammunition are increasingly being found in East African conflict zones. Turkey and the UAE also illegally deliver weapons in the region through several entry points.²⁰ It has to be pointed out, however, that the majority of arms transfers to Africa continue to come from Western states and Russia.²¹

Allegations that the Djiboutian government accepts the illicit trade to balance its debt with China and to receive payments for personal gain are plausi-

	Date	Interdicting Authority	Location	Material Seized
1	24 Sep 2015	HMAS Melbourne	Arabian Sea, off the coast of Oman	75 anti-tank guided missiles (Toophan/ M113 Konkurs/ 9M133 Kornet variants).
2	27 Feb 2016	HMAS Darwin	Arabian Sea, off the coast of Oman	1,989 AK-pattern assault rifles; 49 PKM light machine guns; 100 RPG launchers; 20 60-mm mortars tubes.
3	20 Mar 2016	FS La Provence	Gulf of Aden	1,998 AK-pattern assault rifles; six PKM light machine guns; 64 Hoshdar-M sniper rifles; 100 PRG launchers; 20 60-mm mortars; nine 9M113 Konkurs or 9M133 Kornet variant anti-tank guided missiles.
4	28 Mar 2016	USS Sirocco	Persian Gulf or Arabian Sea	1,500 AK-pattern assault rifles; 21 DShK-pattern heavy machine guns; 200 RPG launchers.
5	28 Aug 2018	USS Jason Dunham	Gulf of Aden	Over 2,522 AK-pattern (Type 56-1) assault rifles.
6	25 Jun 2019	HMAS Ballarat	Gulf of Oman	697 bags ammonium nitrate fertilizer; 475,000 rounds small calibre ammunition.
7	25 Nov 2019	USS Forrest Sherman	Arabian Sea	21 'Dehlavieh' anti-tank guided missiles; components for the Quds-1 land attack cruise missile, for a C802 anti-ship cruise missile and for a third, unidentified cruise missile; two previously unknown surface-to-air missiles.
8	9 Feb 2020	USS Normandy	Arabian Sea	150 'Dehlavieh' anti-tank guided missiles; three 358 surface-to-air missiles.
9	17 Apr 2020	Saudi-led coalition forces	Gulf of Aden	3,002 Type 56-1 rifles, other unknown materiel.
10	24 Jun 2020	Saudi-led coalition forces	Gulf of Aden	1,293 AK-pattern rifles (Type 56-1s); RPG-29 variants; 'Dehlavieh' anti-tank guided missiles; PKM-pattern light machine guns; DShK-pattern heavy machine guns, optical sights; 12.7x99-mm sniper rifles; Walther air rifles.

Table 1: Locations of prominent seizures of Iranian/Yemeni dhows (Data Source: Reports of the UN Panel of Experts on Yemen, US Central Command, Australian Navy, the GI-TOC; Civil Society Observatory of Illicit Economies in Eastern and Southern Africa, Issue 10, July–August 2020, 4).

About the author

Matthias Schwarz is a Doctoral Researcher in PRIF's research department "International Security". His research interests are arms control, conventional weapons, arms trade corruption and political systems in Africa.*

Contact schwarz@hsfk.de



Leibniz-Institut Hessische Stiftung Friedens- und Konfliktforschung
Baseler Str. 27–31, 60329 Frankfurt am Main
Postvertriebsstück D 43853, Entgelt bezahlt, ISSN-2512-627X

ble. Nonetheless, international pressure on Djibouti remains low. The fact that major global powers – three of which have veto power in the UNSC – maintain military facilities in the small state (see Box) provides it with a strong negotiating position, and limits opportunities to curb the flow of arms.

Conclusion and Analysis

The Gulf of Aden is one of the most vibrant shipping routes in the world, though its largest surrounding states, Yemen and Somalia, benefit little from this trade and continue to host two of the globe's direst and intricately violent conflicts. Legal and illegal arms transfers in the region fuel violence and mistrust at the expense of war-torn populations. In such an adverse environment, combined with local conflict particularities, attempts for a peaceful settlement of these conflicts are left with next to no chances to thrive.

At the UN level, arms embargoes have so far been the tool of choice to counter weapons circulation. They face several problems, however. Among them is the fact that arms embargoes in the region struggle against the low detection rate of arms deliveries. Countering the trade with the enforcement of naval controls, however, should be carried out by actors not directly involved in regional conflicts, and should be carefully tailored to local needs to prevent the destruction of legitimate livelihoods.

Although such technical solutions could possibly combat symptoms, the main problem lies at the political level. As long as embargo breaches remain chiefly without consequences, proliferation dynamics in the Gulf of Aden and elsewhere will not be curbed. To this end,

European states should step out of their comfort zone to not only focus on what others do wrong, but on what they can do better themselves.

While assigning responsibility to international 'bad boys' is important, such a strategy does not do justice to more complex problems such as the regional interrelations of conflicts and arms diversions identified above. These could be more strategically addressed. For example, coalition forces continue to receive vast amounts of modern weapons systems from Western states while widely committing war crimes. In this context, European states regularly stretch legal interpretations of export authorizations to their fullest extent, and beyond. Governments that like to see themselves as spearheading multilateralism should set a good example by taking care to avoid the impression that international regulations can be observed in selective ways. The current halting of weapons deliveries by the USA and others states to Saudi Arabia and the UAE gives reason for hope.

**The author is part of a cooperation program between the German Federal Foreign Office (GFFO) and PRIF. The views expressed in the text are those of the author alone and do not necessarily represent the position of the GFFO.*

References and further reading: hsfk.de/spotlight0621-lit



Text License: Creative Commons (Attribution/ NoDerivatives/4.0 International). The images used are subject to their own licenses.



PRIF SPOTLIGHT

The Peace Research Institute Frankfurt (PRIF) is the largest institute for peace research in Germany. PRIF sets out to analyze the causes of violent international and internal conflicts, carrying out research into the conditions necessary for peace and working to spread the concept of peace.

V.i.S.d.P.: Rebecca Frei, Press and Public Relations, Baseler Straße 27–31, 60329 Frankfurt a.M., Germany, Phone +49 (0)69 959104-0, info@hsfk.de, www.prif.org.
Design: Anja Feix · Layout: PRIF · Print: Druckerei Spiegler.

